

January 19, 2023

To,

The National Stock Exchange of India Limited

Listing Department, Wholesale Debt Market

Exchange Plaza, Plot No. C/1, G Block

Bandra-Kurla Complex, Bandra (E),

Mumbai- 400 051

Subject: Submission of Compliance Report on Corporate Governance under Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/ Madam,

Pursuant to Regulation 27(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 enclosed herewith is the quarterly Compliance Report on Corporate Governance in the format prescribed by SEBI for the quarter ended December 31, 2022.

Request you to take this information on your records.

For Aseem Infrastructure Finance Limited

KARISHMA
PRANAV
JHAVERI

Digitally signed
by KARISHMA
PRANAV
JHAVERI

Karishma Jhaveri

Company Secretary

ASEEM INFRASTRUCTURE FINANCE LIMITED

Regd. Office: Aseem Infrastructure Finance Limited | UTI Tower, GN Block, 4th Floor, BKC, Mumbai-400051, Maharashtra

CIN: U65990MH2019PLC325794 | **Phone:** +91- 022 68591350 | **Website:** www.aseeminfra.in

Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

1. Name of Listed Entity: Aseem Infrastructure Finance Limited
2. Quarter ending December 2022

I. Composition of Board of Directors												
Title (Mr. / Ms.)	Name of the Director	PAN\$ & DIN	Category (Chairperson /Executive/ Non- Executive/ independent / Nominee) &	Initial Date of Appoi ntment	Date of Re- appoint ment	Date of Cessation	Tenure *	Date of Birth	No. Of directorship in listed entities including this listed entity <i>[in reference to Regulation 17A (1)]</i>	No of Independent Directors hip in listed entities including this listed entity <i>[in reference to proviso to regulation 17A (1)]</i>	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity <i>(Refer Regulation 26(1) of Listing Regulation s)</i>	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity <i>(Refer Regulation 26(1) of Listing Regulations)</i>
Mr.	Surya Prakash Rao Pendyala	DIN: 02888802	Non-Executive Director- Chairperson	23.05.2019	23.05.2019	-	-	23.11.1958	0	0	2	0
Mr.	Rajiv Dhar	DIN: 00073997	Non- Executive Director	23.05.2019	23.05.2019	-	-	18.08.1961	0	0	3	0
Mr.	Saurabh Jain	DIN: 02052518	Non- Executive Director	23.05.2019	23.05.2019	-	-	24.07.1976	0	0	1	0
Mr.	Venkatadri Chandrasekaran	DIN: 03126243	Non-Executive - Independent - Director	22.07.2020	30.09.2020	-	30	11.10.1957	4	4	7	5
Ms.	Rosemary Sebastian	DIN: 07938489	Non-Executive - Independent Director	16.09.2020	16.09.2022	-	28	05.05.1959	0	0	3	1
		Whether Regular chairperson appointed - Yes										

	Whether Chairperson is related to managing director or CEO - No
	<p><i>\$PAN of any director would not be displayed on the website of Stock Exchange</i></p> <p><i>& Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen</i></p> <p><i>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.</i></p>

II. Composition of Committees					
<i>Name of Committee</i>	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee \$	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Mr. V Chandrasekaran	Non-Executive - Independent Director-Chairperson	12.01.2021	-
		Ms. Rosemary Sebastian	Non-Executive - Independent Director	16.09.2020	-
		Mr. Saurabh Jain	Non-Executive Director	16.09.2020	-
2. Nomination & Remuneration Committee	Yes	Mr. V Chandrasekaran	Non-Executive - Independent Director-Chairperson	16.09.2020	-
		Ms. Rosemary Sebastian	Non-Executive - Independent Director	16.09.2020	-
		Mr. Prakash Rao	Non-Executive Director	16.09.2020	-
3. Risk Management Committee	Yes	Mr. V Chandrasekaran	Non-Executive - Independent Director-Chairperson	26.08.2021	-
		Mr. Rajiv Dhar	Non-Executive Director	24.03.2020	-
		Mr. Prakash Rao	Non-Executive Director	24.03.2020	-
4. Stakeholders Relationship Committee	Yes	Mr. V Chandrasekaran	Non-Executive - Independent Director-Chairperson	04.02.2022	-
		Mr. Rajiv Dhar	Non-Executive Director	04.02.2022	-

		Mr. Prakash Rao	Non-Executive Director	04.02.2022	-
<i>& Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen</i>					
III. Meeting of Board of Directors					
<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Whether requirement of Quorum met*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present*</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
		Yes / No			
09.08.2022	-	Yes	4	2	-
-	09.11.2022	Yes	4	1	93
<i>* to be filled in only for the current quarter meetings</i>					
IV. Meetings of Committees					
<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present*</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive meetings in number of days*</i>
	Yes / No				
Audit Committee					
-	Yes	2	2	09.08.2022	-
07.11.2022	Yes	3	2	-	91
Risk Management Committee					
-	Yes	3	1	29.09.2022	-
19.12.2022	Yes	3	1	-	82
Stakeholders Relationship Committee					
NIL					
Nomination & Remuneration Committee					
NIL					

* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional
 **to be filled in only for the current quarter meetings

V. Related Party Transactions

<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>
	<i>refer note below</i>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	No, it may be pertinent to note that the Company is required to mandatorily comply with the Regulation 23 of SEBI (LODR) Regulations, 2015 and the Company shall obtain approval of shareholders, if the Company enters into material RPT.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	Yes

Note:

- 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2 If status is "No" details of non-compliance may be given here.

VI. Affirmations

1. *The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015. Currently, the composition of the Board is in compliance with the requirements under the Companies Act, 2013. In view of the provisions under the SEBI Listing Regulations relating to Board composition which have been made applicable to High Value Debt Listed entities, the Company shall reconstitute its Board within the specified timelines.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
 - a. Audit Committee: Yes
 - b. Nomination & Remuneration Committee: Yes
 - c. Stakeholders Relationship Committee: Yes
 - d. Risk management committee (as applicable): Yes
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015: Yes
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Yes

5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here. The Corporate Governance Report for the quarter ended December 31, 2022, will be placed before the Board of Directors in the upcoming quarterly Meeting.

Name of Signatory: Karishma Jhaveri

KARISHMA Digitally signed
PRANAV by KARISHMA
JHAVERI PRANAV
JHAVERI JHAVERI

Designation of person: Company Secretary & Compliance Officer

Note:

Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement “same as previous quarter” may be given.



National Stock Exchange Of India Limited

Date of

19-Jan-2023

NSE Acknowledgement

Symbol:-	
Name of the Company: -	Aseem Infrastructure Finance Limited
Submission Type:-	Announcements
Short Description:-	Disclosure under Regulation 27(2)
Date of Submission:-	19-Jan-2023 03:01:53 PM
NEAPS App. No:-	2023/Jan/1205/1205

Disclaimer : We hereby acknowledge receipt of your submission through NEAPS. Please note that the content and information provided is pending to be verified by NSEIL.